



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Mueller et al.

Application No.: 09/990,405

Filed: November 21, 2001

For: METHODS AND COMPOUNDS FOR TREATING

**DEPRESSION AND OTHER DISORDERS** 

Examiner: Brian Yong S. Kwon

Art Unit: 1614

## INFORMATION DISCLOSURE STATEMENT

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The citations listed on attached Form PTO/SB/08a may be material to the examination of the subject application. The Examiner is requested to review and make these references of record in the above-referenced application.

This submission does not represent that a search has been made or that no better art exists. Further, this submission does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art," Applicant reserves the right to present the relevant facts and law regarding such documents. Applicant reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Applicant respectfully requests expeditious examination and a favorable action on the merits. This Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97 (b),

before an issuance of an office action. Thus no petition fee is believed required. However, any fees associated with this communication may be charged to Deposit Account No. 08-2623.

Respectfully submitted,

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RLM/jml

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless is contains a valid OMB number. Complete if Known Substitute for form 1449A/PTO **Application Number** 09/990,405 INFORMATION DISCLOSURE Filing Date November 21, 2001 STATEMENT BY APPLICANT First Named Inventor Mueller et al. Art Unit 1614 (use as many sheets as necessary) Examiner Name Brian Yong S. Kwon of Sheet Attorney Docket Number 50877.0030

U.S. PATENT DOCUMENTS									
Examiner Initials'	Cite No.1	Document Number  Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
		5,137,911	08/11/1992	Combourieu et al.					
		5,225,411	07/06/1993	Regnier et al.					
		6,087,346	07/11/2000	Glennon et al.					

FOREIGN PATENT DOCUMENTS									
Examiner Initials'	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>			
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)							
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Examiner	Date	
Signature	 Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document, 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.